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DATE: February 28, 2007

TO: Examiner Thu Thao Havan  
TC Art Unit: 3691

Fax No.: (571) 273 8300

FROM: Beverly E. Hjorth

No. of pages transmitted  
(including this page): 15

Our File: TRD-001XX

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Application No. 09/587,619

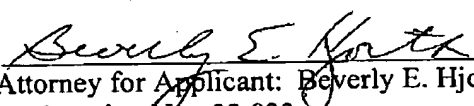
Filed Date: June 5, 2000

Confirmation No.: 8863

A confirmation copy of this transmission will not be mailed unless the following is checked: ☐  
MESSAGEPLEASE DELIVER DIRECTLY TO:  
EXAMINER THU THAO HAVAN, TEL. (571) 272 8111  
TC ART UNIT NO: 3691**FOR ENTRY**

Enclosed for filing please find a: Transmittal Sheet and Amendment

The Commissioner is hereby authorized to Charge Deposit Account No. 23-0804 for any additional filing fees associated with this communication or credit any overpayment.

  
Attorney for Applicant: Beverly E. Hjorth  
Registration No. 32,033

348735.1

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Application No.: 09/587,619  
 Filed: June 5, 2000  
 TC Art Unit: 3691  
 Confirmation No.: 8863

Rev 9/06

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FEB 28 2007

Date: February 28, 2007

Via Facsimile  
 COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Attorney  
 Docket No.: TRD-001XX

Sir:

In re application of: James P. Coppola II

Entitled: PORTFOLIO ACCOUNTING AND RISK MANAGEMENT SYSTEM

Transmitted herewith is an amendment in the above-identified application. The following checked items are applicable:

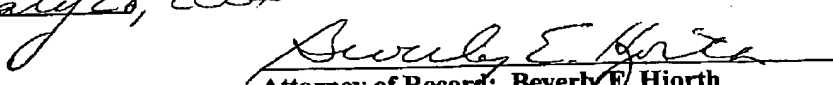
- ☐ This is a Request for Continued Examination under §1.114; authorization is provided herewith to charge Deposit Account No. 23-0804 for the cost of same (\$\_\_\_\_\_) per §1.17(e).  
☐ Enter the unentered amendment previously filed on \_\_\_\_\_ per §1.116.
- ☒ Small Entity Status is asserted.
- ☐ A Petition for Extension of Time for \_\_\_\_ month is hereby made under §1.136(a); authorization is provided herewith to charge Deposit Account No. 23-0804 for the cost of same (\$\_\_\_\_\_) per §1.17.
- ☒ In the event a Petition for Extension of Time is required by this paper and not otherwise provided, such Petition is hereby made and authorization is provided herewith to charge Deposit Account No. 23-0804 for the cost of such extension.

☐ Other:

CLAIMS AFTER AMENDMENT:	MINUS PRIOR PAID CLAIMS:	EQUALS PRESENT EXTRA CLAIMS:	RATE:	ADDITIONAL FEE:
Independent	5 - 5	= 0	x \$200.00 =	0
Total	21 - 21	= 0	x \$ 50.00 =	0
<input type="checkbox"/> Multiple Dependent Claims (1st presentation)			+ \$360.00 =	0
SUBTOTAL ADDITIONAL FEE				0
Small Entity filing, divide by 2. Small Entity status must be asserted.				0
TOTAL ADDITIONAL FEE				0

- ☒ No additional fee. ☐ The fee has been calculated above; authorization is provided herewith to charge Deposit Account No. 23-0804 (\$\_\_\_\_\_) for the cost of same.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees under §1.16 associated with this communication or credit any overpayment to Deposit Account No. 23-0804.

I hereby certify that this correspondence is being sent via facsimile to Examiner Thu Thao Havan, TC Art Unit 3691, Fax No. (571) 273 8300, on February 28, 2007

  
 Attorney of Record: Beverly E. Hjorth  
 Registration No.: 32,033

BEH/dkh/348740.1

PATENT

Rev 07/05

IN THE UNITED STATES PATENT AND TRADEMARK OFFICERECEIVED  
CENTRAL FAX CENTER

FEB 28 2007

In re application : James P. Coppola III  
Application No. : 09/587,619  
Filed : June 5, 2000  
Confirmation No. : 8863  
For : PORTFOLIO ACCOUNTING AND RISK MANAGEMENT  
SYSTEM  
Examiner : Thu Thao Havan  
Attorney's Docket : TRD-001XX

TC Art Unit: 3691

\*\*\*\*\*  
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facsimile to Examiner Thu Thao Havan, TC Art Unit 3691, Fax No.  
(571) 273-8300, on February 28, 2007

By: Beverly E. Hjorth  
Beverly E. Hjorth  
Registration No. 32,033  
Attorney for Applicant

\*\*\*\*\*  
AMENDMENT

Via Facsimile  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated December 7, 2006,  
please amend the above-identified patent application as follows.